
Hello and Welcome to Wealth Professionals, Inc.

Wealth Professionals, Inc. staff of professional agents specialize in assisting people in designing their preferred lifestyle. We are a family of competent, service-oriented and experienced professionals dedicated to helping you reach your personal financial goals with a full range of financial products and solutions designed to meet your specific financial needs.

Wealth Professionals, Inc. was established in 1999 and in July, 2011 we welcomed Sterne Agee Financial Services, Inc. as our new broker/dealer. Sterne Agee Financial Services, Inc. is an affiliate of Sterne Agee Group. The Sterne Agee Family of companies is one of the oldest and largest privately-owned brokerage firms in the country, servicing clients in all 50 states. For over 100 years, Sterne Agee has served the investment needs of its clients through various economic and market conditions.

401k / PENSION SERVICES

- ◆ Help to Identify:
 - Operating Costs of Plan
 - Hard Dollar Costs such as record-keeping expenses
 - Soft Dollar Costs such as investment expenses
 - Hidden Costs, excessive, non-competitive fees or unnecessary fees
- ◆ Establish Potential Investment Performances that are in line with the Investment Policy Statement
- ◆ Establish Education & Communication Plans that potentially meet Fiduciary Objectives
- ◆ Help to:
 - Determine if you are meeting your fiduciary responsibilities and legal obligations
 - Demonstrate how your current plan may compare to alternatives in the marketplace
 - Define and Evaluate Service Provider Deliverables
- ◆ Limit personal and corporate liability by documenting fiduciary duties
- ◆ Attempt to Create Enhanced Participation through Quality Education
- ◆ Attempt to Reduce Administrative Burdens (i.e. Clear sponsor "Inbox")
- ◆ Provide Insight as to Department of Labor Resources
 - Department of Labor Home Page and Fiduciary Responsibilities
 - Understand Retirement Plan Fees and Expenses
- ◆ Recommend Possible Custom Solutions, if needed
- ◆ Offer the best potential mix of investment choices through Extensive Investment Option Analysis
- ◆ Potentially create better communication and education to enhance participants and trustees knowledge

Why Wealth Professionals?

- Hands on management
- Case by case discussion
- Full service line of products

Philosophy

Manage assets with the utmost professionalism and provide unbiased financial solutions. Provide personalized hands on services.



www.wealthprofessionalsinc.com

SHAMOKIN OFFICE

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SELINGROVE OFFICE

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